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# Computational Parsimony in the Case of V-V Compounds in Japanese\*

# **HASHIMOTO** Chikara

#### Abstract

As a type of Multiword Expression (Sag et al., 2002; Baldwin & Bond, 2002), Japanese verbal compounds (V<sub>1</sub>-V<sub>2</sub> compounds, hereafter) pose serious problems for Japanese Natural Language Processing (NLP) and require a sophisticated linguistic treatment. Hashimoto (2004) presented such a treatment of V<sub>1</sub>-V<sub>2</sub> compounds based on the JACY framework (Siegel & Bender, 2002). However, the treatment suffered from overgeneration involving what Matsumoto (1996) calls 'V<sub>1</sub>-V<sub>2</sub> with semantically deverbalized V<sub>1</sub>', which is peculiar in that, even though it shows (partial) compositionality, its productivity is very restricted. In this paper, I propose an alternative analysis for V<sub>1</sub>-V<sub>2</sub> with semantically deverbalized V<sub>1</sub>, where all V<sub>1</sub>-V<sub>2</sub>s of that kind are regarded as single words to account for their restricted productivity but are given (partially) compositional semantic representations. Also, I report on an evaluation experiment that shows an advantage of the alternative treatment. Finally, I argue that grammar developers should take into account computational parsimony; we should not try too hard to generalize phenomena that we can easily enumerate exhaustively.

# 1. Introduction to the Problem of V<sub>1</sub>-V<sub>2</sub> Compounds

Although recent Natural Language Processing (NLP) systems have relied mainly on shallow processing techniques, some NLP problems still need a deep linguistic treatment. Among such problems is the one that is brought about by **Multiword Expressions** (**MWEs**) (Sag et al., 2002; Baldwin & Bond, 2002). Sag et al. (2002) and Baldwin and Bond (2002) define MWEs as "idiosyncratic interpretations that cross word boundaries (or spaces)" and illustrate the problem of MWEs at length. In Hashimoto (2004), I regarded V<sub>1</sub>-V<sub>2</sub> compounds in Japanese as a type of MWE. As such, they pose vexing problems for Japanese NLP.

In Japanese, which is an agglutinative language,  $V_1$ - $V_2$  compounds abound in both spontaneous speech and written documents, and their surface compositions are quite simple: an infinitive verb followed by another verb. However, their usages and meanings are so complex that they have been one of the central issues of Japanese linguistics (Teramura, 1969; Yamamoto, 1983; Tagashira & Hoff, 1986; Kageyama, 1993; Matsumoto, 1996; Himeno, 1999; Fukushima, 2003).

Some  $V_1$ - $V_2$ s are productive and transparent in their meanings, while others show highly lexicalized characteristics. Below are examples of  $V_1$ - $V_2$ s.

<sup>\*</sup>I am indebted to many people who contributed to this article. I particularly would like to thank Takao Gunji, Francis Bond, Dan Flickinger, Melanie Siegel and Timothy Baldwin for a lot of comments and support.

- (1)Productive and compositional  $V_1$ - $V_2$ s aruki-kakeru (walk-be.about.to) 'be about to walk' a. b. ai-sobireru (meet-fail) 'fail to meet' (read-mistake) 'make a mistake in reading' c. yomi-ayamaru (2)Less productive and less compositional V1-V2s (dance-get.tired) odori-tukareru 'get tired from dancing' a. b. tobi-okiru 'get up swiftly' (jump-get.up) (hit-break.in.half) 'break in half by hitting' C. tataki-waru (3)Idiosyncratic V<sub>1</sub>-V<sub>2</sub>s kuri-kaesu (turn.over-give.back) a. 'repeat' b. uti-kiru (hit-cut) 'abort'
  - c. tori-midasu (take-disturb) 'become upset'

The V<sub>1</sub>-V<sub>2</sub>s listed in (1) are productive, compositional, and transparent as to how their meanings are constructed from their component verbs. Semantically speaking, the V<sub>2</sub>s in (1) take V<sub>1</sub>'s meaning as a semantic argument, or embed V<sub>1</sub>'s semantics. The V<sub>1</sub>-V<sub>2</sub>s illustrated in (2) are compositional in some way, but it seems difficult to find a regularity governing all the V<sub>1</sub>-V<sub>2</sub>s. In (2a), we find that a causation relation holds between *odoru* (dance) and *tukareru* (get.tired), but in (2b), *tobu* (jump) describes the manner in which someone gets up. Besides, these V<sub>1</sub>-V<sub>2</sub>s are restricted in variation; while we can say *hare-wataru* (clear.up-spread), we would never say something like *\*kumori-wataru* (cloud.up-spread), even though it makes sense semantically or pragmatically. (3) shows us V<sub>1</sub>-V<sub>2</sub>s that are non-compositional and highly lexicalized. In the V<sub>1</sub>-V<sub>2</sub> in (3b), *uti-kiru* (hit-cut) 'abort', for instance, neither *utu* nor *kiru* contributes their meanings to the compound's meaning 'abort'. V<sub>1</sub>-V<sub>2</sub>s of this kind are much more restricted in variation than those in (1) and (2).

In spite of their pervasiveness, variety, and complexity, little attention has been paid to  $V_1$ - $V_2$  compounds in previous computational grammars of Japanese (Mitsuishi et al., 1998; Ohtani et al., 2000; Siegel & Bender, 2002; Masuichi & Ôkuma, 2003). Siegel and Bender (2002), for example, merely try to list all  $V_1$ - $V_2$ s in the lexicon, identifying them as single words. However, it is certain that this exhaustive listing approach would suffer from undergeneration because of the remarkable productivity of some types of  $V_1$ - $V_2$ s.<sup>1</sup> Consider the examples in (4).

- (4) a. tabe-aruku (eat-walk) 'eat around'
  - b. tabe-aruki-tuzukeru (eat-walk-continue) 'continue to eat around'
  - c. tabe-aruki-tuzuke-sobireru (eat-walk-continue-fail) 'fail to continue to eat around'
  - d. *tabe-aruki-tuzuke-sobire-hazimeru* (eat-walk-continue-fail-begin) 'begin to fail to continue to eat around'

All  $V_1$ - $V_2$  compounds in (4) are grammatical and really productive, which indicates that the exhaustive listing approach to any kind of  $V_1$ - $V_2$  compounds is not realistic. We must distinguish between productive  $V_1$ - $V_2$ s and non-productive  $V_1$ - $V_2$ s and provide an account of the

<sup>&</sup>lt;sup>1</sup>Sag et al. (2002) and Baldwin and Bond (2002) call this problem a lexical proliferation problem.

former which captures proper generalizations. On the other hand, dealing with any kind of  $V_1$ - $V_2$  in a fully compositional way without distinction between productive and non-productive, a simple concatenation approach, would face the problem of overgeneration. As mentioned above, not all imaginable combinations of verbs, like *\*kumori-wataru* (cloud.up-spread), are attested. The simple concatenation approach cannot rule out such impossible cases. Besides, such an approach has no way of predicting differing compositions of meanings of  $V_1$ - $V_2$ s. Indeed, the meanings of  $V_1$ - $V_2$ s in (1), (2), and (3) seem to be formed by different rules or principles. Especially, the  $V_1$ - $V_2$ s in (3) seem idiomatic and not decomposable.<sup>2</sup>

Considering the inadequacy of the simple solution, it is clear that we need a sophisticated linguistic treatment for  $V_1$ - $V_2$  compounds in Japanese.

# 2. Background: Hashimoto (2004)

# 2.1 The Analysis of V1-V2 Compounds

In order to deal with  $V_1$ - $V_2$  compounds in Japanese properly, in a previous paper (Hashimoto, 2004), I proposed an engineering oriented analysis. In that thesis, I made use of the analyses and observations by Kageyama (1993) and Matsumoto (1996) but arranged them according to four criteria proposed by Hasida (1997), by which we can judge a linguistic theory to be suitable for NLP. As a result, I classified  $V_1$ - $V_2$ s into eight categories as follows.

(5) Classification of  $V_1$ - $V_2$  compounds (Hashimoto, 2004)

Syntactic V<sub>1</sub>-V<sub>2</sub> compounds

- 1. A type
- 2. B type
- 3. C type

Lexical V<sub>1</sub>-V<sub>2</sub> compounds

- 4. Right headed  $V_1$ - $V_2$
- 5. Argument mixing  $V_1$ - $V_2$
- 6.  $V_1$ - $V_2$  with semantically deverbalized  $V_1$
- 7.  $V_1$ - $V_2$  with semantically deverbalized  $V_2$
- 8. Non-compositional V<sub>1</sub>-V<sub>2</sub>

Note first that each of the eight categories belongs to either of the two types: syntactic  $V_1-V_2$  compounds or lexical  $V_1-V_2$  compounds. This division of  $V_1-V_2$  compounds into two types was first proposed by Kageyama (1993). For a syntactic  $V_1-V_2$  compound, the two component verbs are combined in the syntax, while lexical  $V_1-V_2$  compounds are formed in the lexicon. In sum, the  $V_1-V_2$ s in (1), which are fully syntactically productive and semantically compositional, are all syntactic  $V_1-V_2$  compounds. On the other hand, some lexical  $V_1-V_2$  compounds such as those in (2) show productivity and compositionality, but others like those in (3) seem idiomatic.<sup>3</sup> Not only a grammatical theory but also a computational grammar should account for these characteristics of  $V_1-V_2$  compounds with their varying degrees of syntactic productivity and semantic compositionality.

Next, let us look more closely at my analysis of lexical  $V_1$ - $V_2$  compounds.<sup>4</sup> Examples of non-compositional  $V_1$ - $V_2$ s are shown in (3). Lexical  $V_1$ - $V_2$ s show differences in their productivity and compositionality. Above all, as the name indicates, the non-compositional  $V_1$ - $V_2$ s

 $<sup>^{2}</sup>$ These problems are called the overgeneration problem and the idiomaticity problem by Sag et al. (2002) and Baldwin and Bond (2002).

<sup>&</sup>lt;sup>3</sup>For a detailed discussion of the difference between syntactic V<sub>1</sub>-V<sub>2</sub>s and lexical V<sub>1</sub>-V<sub>2</sub>s, see Kageyama (1993).

 $<sup>{}^{4}</sup>$ I will not give the details for syntactic V<sub>1</sub>-V<sub>2</sub>s in this paper. For the details, see Hashimoto (2004, §3.5).

are totally lexicalized since neither  $V_1$  nor  $V_2$  contributes to the meaning of the  $V_1$ - $V_2$ . Thus, in Hashimoto (2004), I treated them as not decomposable, i.e. single words, and entered each of them as a whole into the lexicon. In contrast, the other four types show compositionality in some way or other with differing constraints of composition, and hence I posited compounding rules to deal with them. Most of the rules involve an ARG-ST (ARGUMENt-STRUCTURE) proposed by Imaizumi and Gunji (2000) that allows us to distinguish between external arguments and internal arguments, that is to say, between agentive verbs and nonagentive verbs.<sup>5</sup>

First, **Right headed**  $V_1$ - $V_2$ s are licensed as long as the two component verbs share arguments that agree in the external / internal distinction. For instance, *tataki-wareru* (hitbe.broken.in.half) 'be broken in half by someone's hitting' is licensed as a Right headed  $V_1$ - $V_2$  since both the  $V_1$ , *tataku* (a *monotrans* verb), and the  $V_2$ , *wareru* (a *monounac* verb), take an internal argument, which is shared by the two verbs in compounding. On the other hand, the pragmatically plausible  $V_1$ - $V_2$ , \**hasyagi-wareru* (make.merry-be.broken.in.half) 'be broken in half by someone's making merry' is impossible because the  $V_1$ , *hasyagu*, is a *unergative* verb, and thus the two component verbs share no argument.

Next, roughly following Matsumoto (1996), I analyzed **Argument mixing**  $V_1$ - $V_2$ s as consisting of a *monotrans* or *ditrans*  $V_1$  and a *monotrans*  $V_2$ . In addition, the  $V_2$  must be of a type that expresses spatial motion such as *aruku* (walk) and *mawaru* (go around), while the  $V_1$  must not be.<sup>6</sup> They show a peculiarity in that they can take an object argument from either the  $V_1$  or the  $V_2$ . A typical example of an Argument mixing  $V_1$ - $V_2$  is *tabe-aruku* (eat-walk) 'eat around,' where the  $V_1$  is a *monotrans* non-motion verb and the  $V_2$  is a *monotrans* motion verb. The  $V_1$ - $V_2$  can take either an object that expresses something to eat (the case where the  $V_1$  contributes its object argument) or another object that represents a location of moving (the case where the  $V_2$  contributes its object (locative) argument).

The third type of lexical  $V_1$ - $V_2$  compound in (5),  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ , have been analyzed by Tagashira and Hoff (1986), Kageyama (1993), and Matsumoto (1996). Roughly speaking, they all seem to consider the  $V_1$  of the  $V_1$ - $V_2$  a prefix which attaches to the  $V_2$  and loses its original verbal meaning. Furthermore, as Kageyama (1993) points out, the  $V_1$  emphasizes the content of the  $V_2$ . Examples of such  $V_1$ s include *kaku* (scratch), *hiku* (pull), and *sasu* (thrust). The  $V_1$ - $V_2$  is different from the other three compositional lexical  $V_1$ - $V_2$ s in that the compounding of  $V_1$  and  $V_2$  does not seem to make reference to any ARG-ST information. Therefore, those prefix  $V_1$ s can attach to both agentive verbs, as illustrated by *kaki-midasu* (scratch-disturb), and nonagentive verbs (except for *argless* verbs), as shown by *kaki-kumoru* (scratch-cloud.up).

Finally,  $V_1$ - $V_2$ s with semantically deverbalized  $V_2$  have a semantic structure in which the semantics of the  $V_2$  embeds the  $V_1$ 's semantics (Kageyama, 1993) or the  $V_2$  takes on an adverbial meaning that modifies the  $V_1$  (Matsumoto, 1996). Examples of verbs which can act as  $V_2$  for such compounds are *wataru* (spread) and *konasu* (deal with). In contrast to the  $V_1$ - $V_2$  with semantically deverbalized  $V_1$ , the  $V_1$  and  $V_2$  of the  $V_1$ - $V_2$  with semantically deverbalized  $V_2$  must agree in agentivity, as Kageyama (1993) notes. Consequently,

<sup>&</sup>lt;sup>5</sup>Following Imaizumi and Gunji (2000), Hashimoto (2004) classifies verbs into *argless* (verbs without arguments), *monounac* (mono-unaccusative, i.e. verbs with one internal argument), *diunac* (di-unaccusative, i.e. verbs with two internal arguments), *unergative* (verbs with one external argument), *monotrans* (mono-transitive, i.e. verbs with one external argument), and *ditrans* (ditransitive, i.e. verbs with one external and two internal arguments). Obviously, the first three types constitute nonagentive verbs, while the other three types belong to agentive verbs.

<sup>&</sup>lt;sup>6</sup>Note that spatial motion verbs can take an accusative object that represents the location through which the motion takes place. Thus, in the framework of Hashimoto (2004), they are considered to be transitive verbs.

though *hibiki-wataru* (ring.out-spread), which consists of the two *monounac* verbs, is possible, *sakebi-wataru* (shout-spread) is impossible because it is formed from an *unergative*  $V_1$  and a *monounac*  $V_2$ , resulting in a violation of the agentivity constraint.

Using the LKB system (Copestake, 2002), I implemented my analysis of  $V_1$ - $V_2$  compounds in Japanese in a large-scale computational grammar of Japanese, JACY (Siegel, 1998, 1999, 2000a, 2000b; Siegel & Bender, 2002). Then I conducted an evaluation experiment using the [incr tsdb()] system (Oepen & Carroll, 2000) and the Lexeed corpus (Kanasugi et al., 2002; Kasahara et al., 2004). For the evaluation, I prepared two versions of JACY; one was the original JACY, JACY-plain, without an implementation for  $V_1$ - $V_2$ s, but with 1,325  $V_1$ - $V_2$  entries in the lexicon, and the other was Hashimoto's (2004) version, JACY-vv, which includes an implementation of the  $V_1$ - $V_2$  analysis but from which the  $V_1$ - $V_2$  entries had been removed except for some non-compositional  $V_1$ - $V_2$ s. The result showed that JACY-vv has broader coverage and shows less ambiguity than JACY-plain. JACY-vv's broader coverage is surprising since JACY-plain was given as many as 1,325  $V_1$ - $V_2$  entries in the lexicon. I suspect that this was because of the remarkable productivity of some types of  $V_1$ - $V_2$  compounds; they required the generalization of  $V_1$ - $V_2$  compounding.<sup>7</sup>

In summary, Hashimoto (2004) proposes a theoretically precise and yet broad coverage treatment of  $V_1$ - $V_2$  compounds in Japanese. The treatment can also generate a fine-grained semantic representation of  $V_1$ - $V_2$  compounds, which would help NLP systems to be more precise. Note that deep linguistic analyses and observations brought us these advantages.

#### 2.2 The Overgeneration Problem

Hashimoto (2004) was a successful engineering oriented approach to  $V_1$ - $V_2$  compounds in Japanese. Nevertheless, it faces a problem: the overgeneration of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ . In (6), there are ungrammatical  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  that have a "synonymous" grammatical counterpart.

(6)	) Unattested "synonymous" $V_1$ - $V_2$ s with semantically dever			
	a.	*hiki-yuzuru (pull-give)		
		cf. hiki-watasu (pull-give) 'give'		
	b.	*sasi-kimeru (thrust-decide)		
		cf. sasi-sadameru (thrust-decide) 'decide'		
	c.	*tori-hanasu (take-let.out)		
		cf. tori-nigasu (take-let.out) 'let something get away'		

My analysis cannot rule out these ungrammatical  $V_1$ - $V_2$ s since it basically attaches any deverbalized  $V_1$  to any verb.<sup>8</sup>

Furthermore, the compounding rule for  $V_1$ - $V_2$ s wrongly construes some Right headed  $V_1$ - $V_2$ s as  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  when the  $V_1$  is one of the verbs like *hiku*, *sasu*, and *toru*, which can act as semantically deverbalized  $V_1$ . (7) includes examples of such Right headed  $V_1$ - $V_2$ s.

Right headed V<sub>1</sub>-V<sub>2</sub>s that are incorrectly given deverbalized V<sub>1</sub> interpretations
 *hiki-nuku* (pull-pull.out) 'tear something out of'

 $<sup>^{7}</sup>$ The reduction in ambiguity was in some cases the result of the restricted nature of my analysis of syntactic V<sub>1</sub>-V<sub>2</sub> compounds. For details, see Hashimoto (2004, chapter 4).

<sup>&</sup>lt;sup>8</sup>Remember that my analysis of lexical  $V_1$ - $V_2$ s relies solely on ARG-ST, and that according to Kageyama (1993) there is no restriction on  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  in terms of ARG-ST.

- b. sasi-korosu (thrust-kill) 'kill by thrusting'
- c. tori-hazusu (take-unbolt) 'detach'

In the examples in (7), both the  $V_1$  and the  $V_2$  contribute their original verbal meaning to the  $V_1$ - $V_2$ . That is, these  $V_1$ s are not deverbalized in spite of their surface form which is identical to one of those semantically deverbalized  $V_1$ s. However, not only the compounding rule for the Right headed  $V_1$ - $V_2$  but also that for the  $V_1$ - $V_2$  with semantically deverbalized  $V_1$  applies to them. This happens because the latter rule is triggered only by  $V_1$ 's surface forms, like *hiki*, *sasi*, and *tori*. Note that the  $V_1$ - $V_2$ s in (7) are problematic not only for natural language generation but also for parsing; they create a lot of spurious ambiguities.<sup>9</sup>

In what follows, I will propose an alternative analysis of  $V_1$ - $V_2$ s with Semantically Deverbalized  $V_1$  and show its advantages through an evaluation experiment.

# 3. An Alternative Analysis of $V_1$ - $V_2$ s with Semantically Deverbalized $V_1$

Hashimoto (2004) analyzed semantically deverbalized  $V_1$ s as prefixes that attach to both agentive and nonagentive verbs based on the observation of Kageyama (1993). The observation might be correct from the linguistic point of view. However, if the analysis is used for NLP problems, it suffers from overgeneration as mentioned in the previous section. This is a divergence between linguistics and NLP; linguistics tries to generalize phenomena as much as possible, while NLP prefers robustness with respect to naturally occurring texts or speech even if this means a loss in parsimony.

Before presenting my alternative analysis of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ , we examine their characteristics in more detail. First, since the combination of  $V_1$  and  $V_2$  is not constrained by ARG-ST, you might think that the  $V_1$ - $V_2$ s are formed freely and hence are productive. But, in fact, their productivity is quite limited.

- (8) a. hiki-watasu (pull-give) 'give'
  b. \*hiki-sadameru (pull-decide) '?'
  c. \*hiki-nigasu (pull-let.out) '?'
- (9) a. ?sasi-watasu (thrust-give) 'hold forth'
  b. sasi-sadameru (thrust-decide) 'decide'
  c. \*sasi-nigasu (thrust-let.out) '?'
- (10) a. \*tori-watasu (take-give) '?'
  - b. \*tori-sadameru (take-decide) '?'
    - c. tori-nigasu (take-let.out) 'let something get away'

As shown in (8) – (10), we can say *hiki-watasu* (8a), *sasi-sadameru* (9b), and *tori-nigasu* (10c). But grammaticality degrades sharply if we use different semantically deverbalized  $V_1s$ . This implies that the  $V_1$  and  $V_2$  of the  $V_1$ - $V_2$  with semantically deverbalized  $V_1$  collocate so tightly that the  $V_2$  does not allow other deverbalized  $V_1s$ . In other words, they seem to be highly lexicalized in a way similar to non-compositional  $V_1$ - $V_2s$ . Nevertheless, unlike non-compositional  $V_1$ - $V_2s$ , they show (partial) semantic compositionality; the  $V_2$  retains the verbal

<sup>&</sup>lt;sup>9</sup>Hashimoto (2004, p.115) reported that 9 out of 133 V<sub>1</sub>-V<sub>2</sub> compounds ( $\approx 6.77\%$ ) in the subset of the Lexeed corpus were V<sub>1</sub>-V<sub>2</sub>s with semantically deverbalized V<sub>1</sub>.

meaning, and the semantically deverbalized  $V_1$ , though it loses the original verbal meaning and became a kind of modifier, emphasizes the  $V_2$ 's content.

Because of the semantic compositionality, Hashimoto (2004) posited a prefixation rule for the  $V_1$ - $V_2$ s. Figure 1 illustrates the analysis. The left side of Figure 1 shows the application of the prefixation rule,<sup>10</sup> while the right side is the semantic representation of the compound.<sup>11</sup> Roughly speaking, the semantic representation says that the  $V_1$ , the semantics of which is



Figure 1: Hashimoto's (2004) analysis of V1-V2s with semantically deverbalized V1

represented by the predicate vv-prefix-v1, emphasizes the  $V_2$ 's content represented by the watasu (give) predicate. Clearly, the prefixation rule correctly captures their (partial) semantic compositionality. However, the rule cannot account for the  $V_1-V_2s'$  restricted productivity.

My alternative analysis of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  can deal with both the restricted productivity and the (partial) semantic compositionality. The basic idea is that we do away with the prefixation rule and treat those  $V_1$ - $V_2$ s as totally lexicalized, i.e., single words, in the same way as non-compositional  $V_1$ - $V_2$ s to cope with their limited productivity and yet give them a compositional semantic representation. Figure 2 illustrates the alternative analysis. Note that, as described in the left side of Figure 2, the  $V_1$ - $V_2$ , *hiki-watasu*, is treated as a single word but is given a semantic representation that is almost identical to that illustrated in Figure 1.<sup>12</sup> From this semantic representation, we can correctly learn that *hiki-watasu* (pull-give) basically means *watasu* 'give' with the  $V_1$ , *hiki*, semantically deverbalized and emphasizing the  $V_2$ 's content. This solution might look tedious, but it will turn out to be a better analysis in the evaluation section below.

However, this solution necessarily causes an engineering problem. That is, we would have to enumerate all  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  in the lexicon in order to make the solution feasible when used for NLP problems. Doing this manually would be very time-

<sup>&</sup>lt;sup>10</sup>Though the binary branching node is labeled VP, the category of the node is, in fact, *word*. But this is irrelevant to the discussion in this paper.

<sup>&</sup>lt;sup>11</sup>The framework of JACY semantics is based on **Minimal Recursion Semantics** (**MRS**). For details, see Copestake et al. (1999, 2001), Flickinger and Bender (2003).

<sup>&</sup>lt;sup>12</sup>The new analysis has one technical problem. The main proposition, h1:proposition.m(h3), should have been identified as vv-prefix-v1(watasu(u6,u5)), namely h7:vv-prefix-v1(e2,h4), as a whole rather than only watasu(u6,u5) that is represented by h4:watasu(e2,u6,u5). In other words, {h3 qeq h7} rather than {h3 qeq h4}.



Figure 2: The alternative analysis of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ 

consuming, so we need some automatic way of collecting the  $V_1$ - $V_2$ s from corpora.

The same is true of non-compositional  $V_1$ - $V_2s$  as I discussed in §5.1.2 in Hashimoto (2004); because of their non-compositional nature, they also have to be enumerated in the lexicon. In the thesis, I speculated that the automatic methods of detecting non-compositional English phrasal verbs used by Lin (1999), Bannard et al. (2003), McCarthy et al. (2003) and Baldwin et al. (2003) could be used to help us automatically collect non-compositional  $V_1$ - $V_2s$  from corpora. These techniques can be summarized as follows.

- (11) Criteria in judging a phrasal verb's compositionality
  - 1. If a phrasal verb is similar to both the head verb and the particle, it is fully compositional.
  - 2. If a phrasal verb is similar to either the head verb or the particle, it is partially compositional.
  - 3. If a phrasal verb is similar to neither the head verb nor the particle, it is non-compositional.

Similarity is measured according to their co-occurrence patterns. In other words, their meanings are approximated in terms of what subjects, objects, and modifiers these verbs take.

Probably we can collect  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  from corpora by means of a similar technique. A criterion for judging whether a  $V_1$ - $V_2$  belongs to the class would be something like this: if a  $V_1$ - $V_2$  is similar to only the  $V_2$ , it is a  $V_1$ - $V_2$  with semantically deverbalized  $V_1$ . In addition, we can use a further characteristic of these  $V_1$ - $V_2$ s, namely the fact that semantically deverbalized  $V_1$ s constitute a closed class. As far as I know, there are at most seven verbs that can be semantically deverbalized  $V_1$ s. (12) shows examples for each of them.

- (12) a. tori-tukurou (take-mend) 'mend'
  - b. sasi-sadameru (thrust-decide) 'decide'
  - c. kaki-kumoru (scratch-cloud.up) 'cloud up'
  - d. **uti**-nagameru (**hit**-look.at) 'look at'
  - e. osi-damaru (push-shut up) 'shut up'

- f. hiki-watasu (pull-give) 'give'
- g. tati-wakareru (stand-break.up) 'break up'

This characteristic of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  should be a valuable clue in collecting those  $V_1$ - $V_2$ s from corpora. Accordingly, a technique for automatically collecting  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  should make use of the following criteria.

- (13) Criteria in judging a  $V_1$  as semantically deverbalized
  - 1. If a  $V_1$ - $V_2$  is similar to only the  $V_2$ , the  $V_1$  could be semantically deverbalized.
  - 2. If a  $V_1$  is one of the  $V_1$ s in (12), the  $V_1$  could be semantically deverbalized.

Although I have not conducted the experiment of the automatic acquisition of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  in this paper, I expect that the technique utilizing (13) will show a high accuracy.

# 3.1 The Applicability of the Alternative Analysis to Other Lexical V<sub>1</sub>-V<sub>2</sub>s

In Hashimoto (2004), I posited lexical compounding rules for not only  $V_1-V_2s$  with semantically deverbalized  $V_1$  but also the other three (partially) compositional lexical  $V_1-V_2s$ : Right headed, Argument mixing, and deverbalized  $V_2$  types. However, these three are not equally productive, though they are formed more productively than  $V_1-V_2s$  with semantically deverbalized  $V_1$  and non-compositional  $V_1-V_2s$ . The degree of productivity comes down in the following order: Right headed  $V_1-V_2s$ , Argument mixing  $V_1-V_2s$ , and  $V_1-V_2s$  with semantically deverbalized  $V_2$ .

The question is whether we should give the alternative analysis for each of the three.<sup>13</sup> First of all, Right headed  $V_1$ - $V_2$ s are so productive that we can coin compound words of that type so freely as long as they are semantically and pragmatically plausible. (14) includes creative Right headed  $V_1$ - $V_2$ s that I discussed in Hashimoto (2004).

- (14) a. hakari-kazoeru (measure-count) 'measure and count'
  - b. osie-mitibiku (teach-lead) 'lead by teaching'
  - c. tuge-siraseru (report-inform) 'report and inform'

Clearly, it is not a good idea to enumerate all of them in the lexicon. Nevertheless, the alternative analysis can be used to cover some Right headed  $V_1$ - $V_2$ s that my analysis incorrectly rules out. In section 2. 1, I mentioned that Right headed  $V_1$ - $V_2$ s are licensed as long as the two component verbs share arguments that agree in the external / internal distinction. In most cases, this makes a correct prediction, but unfortunately there are a several exceptions to this.

(15)	a.	naki-nureru	(cry-get.wet)	'(Cheeks) get wet by crying'
	b.	ne-midareru	(sleep-jumble)	'(Hair) jumbles by sleeping

Both of the two  $V_1$ - $V_2s$  in (15) should belong to Right headed  $V_1$ - $V_2s$  but consist of an *unerga*tive  $V_1$  and a *monounac*  $V_2$ . Consequently the two component verbs cannot share arguments and violate the constraint of Right headed type. Note that revising the rule for Right headed type to accept those in (15) necessarily brings about terrible overgeneration; the productivity of those exceptions is very restricted. Obviously, the alternative analysis, which is capable of dealing with compounds that are compositional but not productive, would help; we can enter

<sup>&</sup>lt;sup>13</sup>In spite of the discussion here, I have not implemented the alternative analysis for the three types. Thus, the evaluation experiment described in the next section deals with only  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ .

those exceptions in the lexicon as single words with compositional semantics so that we can extend the coverage and yet avoid overgeneration.

Second, we have found no such exceptions to the Argument mixing type so far. In addition, the productivity is very high.

(16) a. sakebi-aruku (shout-walk) 'walk while shouting'b. ikari-aruku (get.angry-walk) 'walk while being angry'

Most Japanese should feel unfamiliar with the  $V_1$ - $V_2$ s in (16), but I am sure they will accept them as Japanese compound words. Therefore, I conclude that the alternative analysis does nothing about this type.

Finally,  $V_1$ - $V_2$ s with semantically deverbalized  $V_2$  seem to be an intermediate case; positing a compounding rule would lead to overgeneration, but the alternative analysis would suffer from undergeneration. For example, the semantically deverbalized  $V_2$ , *-sikiru* (frequently), in (17) does not seem to be productive.

(17)	a.	huri-sikiru	(fall-frequently)	'(rains) fall heavily
	b.	*oti-sikiru	(fall-frequently)	'?'
	c.	?nari-sikiru	(ring-frequently)	'ring heavily'

On the other hand, consider the examples in (18)–(20), which indicate that some  $V_1$ - $V_2$ s with semantically deverbalized  $V_2$  are moderately productive.

(18)	a.	tukai-hatasu	(use-exhaust)	'use up'
	b.	yomi-hatasu	(read-exhaust)	'read thoroughly'
	c.	*kone-hatasu	(knead-exhaust)	'knead thoroughly'
(19)	a.	tukai-konasu	(use-master)	'use skillfully'
	b.	yomi-konasu	(read-master)	'read skillfully'
	c.	*kone-konasu	(knead-master)	'knead skillfully'
(20)	a.	tukai-mawasu	(use-turn.arour	nd) 'use repeatedly'
	b.	?yomi-mawasu	(read-turn.arou	ind) 'read repeatedly'
	c.	kone-mawasu	(knead-turn.arc	ound) 'knead repeatedly'

I suspect that we first have to figure out which semantically deverbalized  $V_{2s}$  are not productive. Then we should posit a compounding rule only for productive ones, and the alternative analysis takes care of those that are not productive. I think that this would be a better treatment for  $V_1$ - $V_2s$  with semantically deverbalized  $V_2$ , although we need to investigate productivity for each of them.

# 4. Evaluation

To show the advantages of the alternative approach to  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  over Hashimoto (2004) and the original JACY (Siegel & Bender, 2002), I conducted an evaluation experiment in the same way as in Hashimoto (2004, chapter 4); I investigated the *competence* and *performance* of the three grammars using the Lexeed corpus (Kanasugi et al., 2002; Kasahara et al., 2004) and the [incr tsdb()] system (Oepen & Carroll, 2000). Note that in the grammar profiling context of [incr tsdb()], *competence* means, among other things, **Coverage**, how many sentences the grammar can cover, and **Ambiguity**, the average amount of

structural ambiguity the grammar produces per sentence, and *performance* means how efficiently the grammar works: **Time**, how long the grammar needs to parse one sentence, **Space**, how much memory the grammar consumes to parse one sentence, and **Tasks**, the average number of operations that the grammar conducts to parse one sentence.

First of all, from the subset of the Lexeed corpus, I extracted 219 sentences, each of which contained at least one  $V_1-V_2$  compound. This data was evaluated using each of the three versions of JACY grammar; The\_Original\_JACY (JACY-plain in Hashimoto (2004)), which is not equipped with any  $V_1-V_2$  implementation but has 1,325  $V_1-V_2$  lexical entries that have been collected manually from several corpora, Hashimoto\_(2004) (JACY-vv in Hashimoto (2004)), which includes the  $V_1-V_2$  implementation proposed in the thesis but does not contain any  $V_1-V_2$  lexical entries in the lexicon (except for those non-compositional  $V_1-V_2$ s), and The\_Alternative, which is the same as Hashimoto\_(2004) except for the analysis of  $V_1-V_2$ s with semantically deverbalized  $V_1$ .<sup>14</sup>

Tables 1 and 2 show the results.<sup>15</sup> Table 1 shows that The\_Alternative produced less

	The_Original_JACY	Hashimoto_(2004)	The_Alternative
Coverage (%)	52.1	63.5	63.5
<b>Ambiguity</b> $(\phi)$	53.41	50.78	46.42

Table 1: Competence

Table 2:	Performance
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	The_Original_JACY	Hashimoto_(2004)	The Alternative
Tasks (\phi)	79,783	137,851	136,281
Time $(\phi)$	4.85	6.43	6.34
Space $(\phi)$	816,779	995,681	995,232

ambiguity than Hashimoto\_(2004) in spite of maintaining the coverage of Hashimoto\_(2004). As for performance shown in Table 2, The\_Alternative outperformed Hashimoto\_(2004) in all the three respects. These results are certainly due to the alternative analysis of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$ ; getting rid of the overgenerating prefixation rule led to the reduction in ambiguity and the improvement in performance.<sup>16</sup>

# 5. Conclusion: A Computational Parsimony

Obviously, the most precise way to describe a language is to enumerate possible expressions of the language exhaustively. However, this approach makes linguistics, the science of language, violate the principle of scientific parsimony: the principle of explaining a multitude of phenomena by a small number of hypotheses. Therefore, linguistics tries to generalize phenomena as much as possible. However, "accidental" phenomena, without regularity, tend to be ignored.

<sup>&</sup>lt;sup>14</sup>In this experiment, I assumed that I could collect all the  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  that appeared in the evaluation corpus. Thus, I entered all of them in the lexicon manually in advance.

<sup>&</sup>lt;sup>15</sup>Here I concentrate on comparing Hashimoto\_(2004) and The\_Alternative. For the comparison and the discussion of the difference between The\_Original\_JACY and Hashimoto\_(2004), see Hashimoto (2004, chapter 4).

<sup>&</sup>lt;sup>16</sup>Generally speaking, more rules increase "search space" in which a parser should find a correct analysis. As a result, the parser needs more **Tasks**, more **Time**, and more memory **Space** to perform the job.

Non-compositional  $V_1$ - $V_2$ s, for instance, are accidental, and hence no linguist has dealt with them.

In contrast, NLP, the engineering of language, is free from the principle of scientific parsimony. The most important things for NLP are increased precision, broader coverage, and greater efficiency. It is only to achieve these purposes that a notion of parsimony plays a roll in NLP. That is, since enumerating all the possible expressions in a language is impossible, NLP requires a parsimonious description of the language. But we should be aware of the tendency for shotgun generalizations of phenomena to lead to a computational grammar with more ambiguity and worse performance. Thus, a better approach to phenomena which encompass only a handful of expressions will be to list all the expressions in the lexicon or some other component of grammar, even though such an approach looks boring from a linguistic point of view. We may call the trick for grammar development the principle of **computational parsimony**, as opposed to the principle of scientific parsimony.

In this paper, I have provided an alternative analysis of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  and demonstrated some of its advantages. The approach observes computational parsimony; it exhaustively enumerates all of the  $V_1$ - $V_2$ s to cope with their very restricted productivity and semi-lexicalized nature, and yet it successfully accounts for their (partial) semantic compositionality. As a result, the alternative approach attains a reduction in ambiguity and better performance. On the other hand, Hashimoto's (2004) approach, which simply follows Kageyama's (1993) observation that the  $V_1$  can combine with both agentive and nonagentive verbs, violates computational parsimony and overgenerates, resulting in more ambiguity and worse performance.

## **Appendix: Sample Lexical Entries**

In this appendix, I illustrate two lexical entries of  $V_1$ - $V_2$ s with semantically deverbalized  $V_1$  that have been implemented in my version of JACY: *hiki-watasu* and *sasi-sadameru*.

As described in section 3., a semantically deverbalized  $V_1$  only emphasizes  $V_2$ 's content, while the  $V_2$  directly contributes its meaning to the  $V_1$ - $V_2$ . Now let's first look at the following entry for the simplex verb *watasu* 'give'.

```
watasu-stem := v1-monotrans-c-non-motion-stem-lex &
[ORTH 〈! "渡す"!〉,
SYNSEM [LKEYS.KEYREL.PRED 'watasu_rel]].
```

The lexical type for *watasu* is specified as v1-monotrans-c-non-motion-stem-lex, and naturally its phonological form, 渡寸, and meaning, watasu\_rel, are stipulated. Next, look at the lexical entry for *hiki-watasu* below. Note that I specified its meaning as basically the same as *watasu*, namely watasu\_rel, and that I further introduced vv-prefix-v1-relation into the semantics, which is meant to emphasize the meaning of *watasu*. The first element of the RELS list, [], is a kind of a placeholder for the predicate-argument feature structure of watasu\_rel. Hence, the meaning of *hiki-watasu* consists of the two predicate-argument feature structures: those for watasu\_rel and vv-prefix-v1-relation.

```
hikiwatasu_prefix-v1-vv := v1-monotrans-c-non-motion-stem-lex &
[ORTH 〈! 『引き","渡す" !〉,
SYNSEM [LKEYS.KEYREL.PRED 'watasu_rel,
LOCAL.CONT [HOOK [LTOP #lbl,
```

In the same way, the lexical entry for *sasi-sadameru* is contrasted with that for the simplex verb, *sadameru*.

```
sadameru-stem := v1-monotrans-v-non-motion-stem-lex &
[ORTH (! "定める" !),
SYNSEM [LKEYS.KEYREL.PRED 'sadameru_rel]].
sashisadameru_prefix-v1-vv := v1-monotrans-v-non-motion-stem-lex &
[ORTH (! "差し","定める" !),
SYNSEM [LKEYS.KEYREL.PRED 'sadameru_rel,
LOCAL.CONT [HOOK [LTOP #lbl,
INDEX #ind],
RELS (! [], vv-prefix-v1-relation &
[ARG0 #ind,
ARG1 #lbl] !)]]].
```

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